

B 210A (Form 210A) (12/09)

## UNITED STATES BANKRUPTCY COURT

Southern District of New York

In re Leman Brothers Holdings Inc

Case No. 08-13555

### TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

Winfred Tai

Name of Transferee

Winnie Tai - GTS Properties

Name of Transferor

Name and Address where notices to transferee should be sent:

Court Claim # (if known): 39236

Amount of Claim: \$400,000.00

Date Claim Filed: 10/13/2009

Phone: 650-591-0817  
Last Four Digits of Acct #: \_\_\_\_\_

Phone: 650-559-8088  
Last Four Digits of Acct. #: \_\_\_\_\_

Name and Address where transferee payments should be sent (if different from above):

270 Crescent Ave  
Burlingame, CA 94010

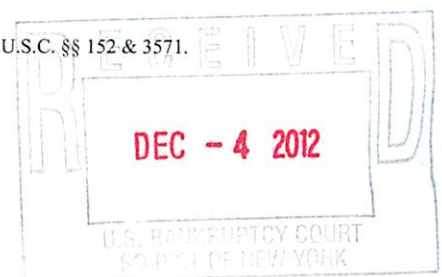
Phone: \_\_\_\_\_  
Last Four Digits of Acct #: \_\_\_\_\_

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By: J. Winfred  
Transferee/Transferee's Agent

Date: Nov. 15, 2012

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.



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United States Bankruptcy Court/Southern District of New York  
Lehman Brothers Holdings Claims Processing Center  
c/o Epiq Bankruptcy Solutions, LLC  
FDR Station, P.O. Box 5076  
New York, NY 10150-5076

## LEHMAN SECURITIES PROGRAMS PROOF OF CLAIM

In Re:  
Lehman Brothers Holdings Inc., et al.,  
Debtors.

Chapter 11 Case  
No. 08-13555 (JMP)  
(Jointly Administered)

Filed: USBC - Southern District of New York  
Lehman Brothers Holdings Inc., Et Al.  
08-13555 (JMP) 0000039236



Note: This form may not be used to file claims other than those based on Lehman Programs Securities as listed on <http://www.lehman-docket.com> as of July 17, 2009

### THIS SPACE IS FOR COURT USE ONLY

Name and address of Creditor: (and name and address where notices should be sent if different from Creditor)

G T S PROPERTIES LTD  
ROOM 501 PROGRESS COMM'L BLDG  
7 IRVING STREET  
CAUSEWAY BAY  
HONG KONG

☐ Check this box to indicate that this claim amends a previously filed claim.

Court Claim Number: \_\_\_\_\_  
(If known)

Telephone number: [REDACTED]

Email Address: \_\_\_\_\_

Filed on: \_\_\_\_\_

Name and address where payment should be sent (if different from above)

☐ Check this box if you are aware that anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars.

Telephone number: \_\_\_\_\_

Email Address: \_\_\_\_\_

1. Provide the total amount of your claim based on Lehman Programs Securities. Your claim amount must be the amount owed under your Lehman Programs Securities as of September 15, 2008, whether you owned the Lehman Programs Securities on September 15, 2008 or acquired them thereafter, and whether such claim matured or became fixed or liquidated before or after September 15, 2008. The claim amount must be stated in United States dollars, using the exchange rate as applicable on September 15, 2008. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the claim amounts for each Lehman Programs Security to which this claim relates.

Amount of Claim: \$ USD400,000 plus applicable interest and charges  
(Required)

☒ Check this box if the amount of claim includes interest or other charges in addition to the principal amount due on the Lehman Programs Securities.

2. Provide the International Securities Identification Number (ISIN) for each Lehman Programs Security to which this claim relates. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the ISINs for the Lehman Programs Securities to which this claim relates.

International Securities Identification Number (ISIN): XS0369799845 (Required)

3. Provide the Clearstream Bank Blocking Number, a Euroclear Bank Electronic Reference Number, or other depository blocking reference number, as appropriate (each, a "Blocking Number") for each Lehman Programs Security for which you are filing a claim. You must acquire a Blocking Number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the Blocking Numbers for each Lehman Programs Security to which this claim relates.

Clearstream Bank Blocking Number, Euroclear Bank Electronic Instruction Reference Number and or other depository blocking reference number:

CA89291

(Required)

4. Provide the Clearstream Bank, Euroclear Bank or other depository participant account number related to your Lehman Programs Securities for which you are filing this claim. You must acquire the relevant Clearstream Bank, Euroclear Bank or other depository participant account number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). Beneficial holders should not provide their personal account numbers.

Accountholders Euroclear Bank, Clearstream Bank or Other Depository Participant Account Number:

73473

(Required)

5. Consent to Euroclear Bank, Clearstream Bank or Other Depository: By filing this claim, you consent to, and are deemed to have authorized, Euroclear Bank, Clearstream Bank or other depository to disclose your identity and holdings of Lehman Programs Securities to the Debtors for the purpose of reconciling claims and distributions.

Date:

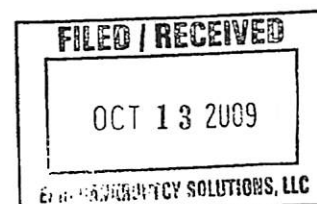
Signature: The person filing this claim must sign it. Sign and print name and title, if any, of the creditor or other person authorized to file this claim and state address and telephone number if different from the notice address above. Attach copy of power of attorney, if any.

07 SEP 2009

X Winnie Wang

TAI, WINNIE WANG

### FOR COURT USE ONLY



Penalty for presenting fraudulent claim: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 and 3571

Page 1 of 2 (30 items) 1 2 3

Claim #	Schedule #	Creditor Name	Filed Date	Total Claim Value
67178		BENGTSSON, CLAES	11/01/2010	\$918.29 <a href="#">Inquire</a>
43159		CHANG TSANN RONG ERNEST & LIU AI LIN	10/21/2009	\$141,169.08 <a href="#">Inquire</a>
50668		CHENG TSZ LING MINA	10/28/2009	\$23,277.10 <a href="#">Inquire</a>
64647		CHU KENG TONG & TSE LAI FONG	11/04/2009	\$0.00 <a href="#">Inquire</a>
64648		CHU KENG TONG & TSE LAI FONG	11/04/2009	\$0.00 <a href="#">Inquire</a>
47361		CHU, LAI CHING & TSANG, OI YAN	10/26/2009	\$31,830.35 <a href="#">Inquire</a>
47362		CHU, LAI CHING & TSANG, OI YAN	10/26/2009	\$33,633.11 <a href="#">Inquire</a>
65670		CHU, LAI CHING & TSANG, OI YAN	11/23/2009	\$0.00 <a href="#">Inquire</a>
65671		CHU, LAI CHING & TSANG, OI YAN	11/23/2009	\$0.00 <a href="#">Inquire</a>
39236		G T S PROPERTIES LTD	10/13/2009	\$400,000.00 <a href="#">Inquire</a>

**Creditor Address:**  
68 STUART COURT  
LOS ALTOS, CA 94022

**Debtor:**  
08-13555 Lehman Brothers Holdings Inc.

☒ Related Dockets

Docket Number	Docket Date	Docket Text
19120	08/10/2011	Order Signed on 8/10/2011 Approving Procedures for Determining the Allowed Amount of Claims Filed Based on Structured Securities Issued or Guaranteed by Lehman Brothers Holdings Inc. (Related Doc #116294) (Nuffy, Lynda)

Case: Lehman Brothers Holdings Inc.

Related: [15294](#)

[Related Documents](#)  
[Documents](#)

**Amounts:**  
Allowed Unsecured: \$400,000.00  
Claimed Unsecured: \$400,000.00

**Remarks:**  
THIS CLAIM IS ALLOWED



**UBS Financial Services Inc.**  
750 University Avenue, Suite 250  
Los Gatos, CA 95032  
Tel. 408-827-3293  
Fax 855-588-6588  
Toll Free 408-341-7661  
ben.aslan@ubs.com

Sending on behalf  
of my clients,

Ben Elie Aslan  
Senior Vice President - Investments  
Senior Portfolio Manager  
Advisory & Brokerage Services

[www.ubs.com](http://www.ubs.com)

Winnie Tai/GTS Properties, and her son,  
Winifred Tai, whom she is transferring  
this to.

Please let us know if you need  
anything else to process this.

A handwritten signature in black ink, consisting of a large, stylized capital letter 'B' followed by a horizontal line.